




Distributor's ARN/ RIA Code <sup>#</sup>	Sub-Broker's Name & Code	EUIN	FOLIO NO.	DATE DD / MM / YYYY
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"By mentioning RIA code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Kotak Mahindra Mutual Fund.  
 "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

**SIGNATURE(S)**

 Sole/First Holder	 Second Holder	 Third Holder
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(To be signed by **All Unitholders** if mode of operation is 'Joint')


Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

NAME OF SOLE/ FIRST HOLDER : \_\_\_\_\_  
 NAME OF SECOND HOLDER : \_\_\_\_\_  
 NAME OF THIRD HOLDER : \_\_\_\_\_

PAN	Sole / First Holder	Second Holder	Third Holder
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LEI Number (Legal Entity Identifier) – For Non individuals only:  Valid till

**PURCHASE** Refer Checklist I


Scheme \_\_\_\_\_ Option (Please ✓)  IDCW   Payout OR  Reinvestment  
 Plan \_\_\_\_\_ IDCW Frequency \_\_\_\_\_  
 Growth  
**Plan your Life Goal. You can assign this investment for your life's important milestones.**  Your Dream House  Child Education  Child Wedding  Retirement


Payment Mode  OTM (One Time Bank Mandate)  Cheque  DD / Pay order  RTGS/ NEFT  Funds Transfer

Investment : Rs. \_\_\_\_\_ Chq./ DD No./ UTR No./ UMRN No. \_\_\_\_\_ dated DD / MM / YYYY  
 drawn on \_\_\_\_\_ Name of Bank \_\_\_\_\_ Branch \_\_\_\_\_ City \_\_\_\_\_  
 (Please mention your folio on the face of your investment cheque)

We hereby confirm having initiated the Transfer/RTGS for transfer of Rs. \_\_\_\_\_ from our account no. \_\_\_\_\_  
 with \_\_\_\_\_ Bank to your account no. \_\_\_\_\_ with \_\_\_\_\_ Bank.

**SWITCH** Refer Checklist III

From: Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option (Please ✓)  IDCW   Payout OR  Reinvestment  
 IDCW Frequency \_\_\_\_\_  
 Growth

To: Scheme \_\_\_\_\_ Plan \_\_\_\_\_  IDCW   Payout OR  Reinvestment  
 IDCW Frequency \_\_\_\_\_  
 Growth

Amount (Rs.) OR  No. Of Units OR  All Units (✓) OR  All Units Free from Exit Load (✓)


**Important Alert:** In case there is any change to your KYC information please update the same by using the prescribed 'KYC Change Request Form' and submit the same at the Point of Service of any KYC Registration Agency.

**DEMAT ACCOUNT DETAILS**

Please ensure you submit supporting documents evidencing the accuracy of the demat account details mentioned below. Bank details of DP will overwrite the existing details. In case you wish to hold units in demat, please fill this section. Please note that you can hold units in demat for all open ended schemes (except ETFs and IDCW options having IDCW frequency of less than a month).

NSDL  CDSL \_\_\_\_\_ DP Name \_\_\_\_\_ DP ID \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_

**REDEMPTION** Refer Checklist II

Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option (Please ✓)  IDCW   Payout OR  Reinvestment  
 IDCW Frequency \_\_\_\_\_  
 Growth

Amount (Rs.) OR  No. Of Units OR  All Units (✓) OR  All Units Free from Exit Load (✓)

*If the balance in the scheme/plan is less than the requested amount/ units of redemption request, then the redemption transaction shall be processed for all available units in the scheme/ plan.  
 If you have opted to redeem/ switch-out 'All Units Free from Exit Load', then the same shall be processed only on FIFO basis.*




**For investors who have REGISTERED FOR MULTIPLE BANK ACCOUNTS FACILITY in the above folio**

The redemption should be processed into the following bank account as per the payout mechanism indicated by me/us:

Name of Bank \_\_\_\_\_ Bank A/c No. \_\_\_\_\_  
 Branch \_\_\_\_\_ Bank City \_\_\_\_\_

Important Note: If the bank account mentioned above is different from those already registered in your folio, prescribed supporting documents have to be submitted. If bank account details are not filled above OR incorrect /incomplete supporting documents are submitted for a new bank account, the redemption will be processed into the "Default" bank account registered for the aforesaid folio. Kotak Mutual Fund or Kotak Mahindra Asset Management Company Ltd. will not be liable for any loss arising to the unitholder(s) due to the credit of redemption proceeds into any of the bank accounts registered with us for the aforesaid folio.

**SIGNATURE(S)**

 Sole/First Holder	 Second Holder	 Third Holder
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(To be signed by **All Unitholders** if mode of operation is 'Joint')