

COMMON APPLICATION FORM

Appl. CA Date: DD / MM / YYYY

	Distributor's ARN/ RIA Code#	Sub-Broker's ARN	Sub-Broker's Code	EUIN				
💛 Kota	entioning RIA/PMS code, I/ We authorize you to share k Mahindra Mutual Fund. Declaration for"Execution-on hereby confirm that the EUIN box has been intentionally left blan n of the above distributor/sub broker or notwithstanding the advice	ly" transactions (only where EUIN	box is left blank)					
SIGNATURE(S)	Sole / First Applicant	Second Applicant	T	hird Applicant				
	CTION CHARGES for Applications routed through distrib	(To be signed by All Applicants)						
form" fo			5					
Existing Unitholder Information (Section I)	If you have, at any time, invested in any Scheme of Kotak Mahir and PAN details below and proceed to Section Investment Details.	dra Mutual Fund and wish to hold your p	present investment in the same Account, ple	ase furnish your Name, Folio Number				
Exi Unitl Inforr (Sec	Name of Sole / First Applicant:	PAN No.:	I					
	Name of Sole/ First Applicant:			^ Name shall be as per PAN card.				
		oration D D M M Y Y	Ү Ү СКҮС:					
	Gross Annual Income Details in INR (please tick): O < or Ne	I lac O 1 - 5 lac O 5 - 10 lac O t-worth as on (date) DD / MM /		5 cr O 5 cr - 10 cr O > 10 cr should not be older than 1 year)				
	Please tick, if applicable, O Politically Exposed Person (PEP	□ YES □ NO ○ Related to a Po		t applicable				
ê	Occupation of Applicant O Private Sector Service O O Public Sector/ O Government Service O	Business O Retired Professional O Housewife Agriculturist O Business	O Professional O Forex De O Agriculturist O Other O Student (Please spe					
New Applicant's Personal Information (Mandatory) (Section II)	Status of Applicant O O Resident Individual O Proprietorship O NRI on Repatriation Basis (NRE) O Partnership Firm O NRI on Non-Repatriation Basis (NRO) O Private Limited Comp	O Mutual Fund O Mutual Fund FOF Scheme any O Body Corporate	O PF/ Gratuity/ Pension/ O Fr O Superannuation Fund O C O Trust O C	oreign Institutional Investor In behalf of Minor				
nforma n II)	O HUF O Public Limited Compa LEI Number (Legal Entity Identifier) – For Non individuals only:	O Registered Society	O AOP/ BOI	(Please specify)				
sonal l (Sectio	Name of Second Applicant:			^ Name shall be as per PAN card.				
nt's Pei	PAN/ Date 0	of Birth/ D D M M Y Y	Y Y СКҮС:					
Applica	Gross Annual Income Details in INR (please tick): O <	I lac O 1 - 5 lac O 5 - 10 lac O						
New	Or Ne Please tick, if applicable, O Politically Exposed Person (PEP	t-worth as on (date) DD / MM / Y D I YES I NO O Related to a Po		should not be older than 1 year) t applicable				
	Name of Third Applicant:			^ Name shall be as per PAN card.				
	PAN/ PEKRN: Date of Incorp	oration D D M M Y Y	Y Y CKYC:					
	Gross Annual Income Details in INR (please tick): O < 1 lac O 1 - 5 lac O 5 - 10 lac O 10 - 25 lac O 25 lac - 1 cr O 1 cr - 5 cr O 5 cr - 10 cr O > 10 cr or Net-worth as on (date) DD / MM / YYYY Rs. (should not be older than 1 year)							
	Please tick, if applicable, O Politically Exposed Person (PEP) VES NO O Related to a Politically Exposed Person (PEP)* O Not applicable							
	*I declare that the information is to the best of my knowledge and belief, accurate and co	nplete. I agree to notify Kotak Mahindra Mutual Fund/Ko	tak Mahindra Asset Management Co. Ltd. immediately in c	ase there is any change in the above information.				
(Section III)	Mode of Operation - Where there is more than one applicant O First Applicant only O Anyone or Survivor C		ivor, in case of more than one applicant)					
	Name	PAN Countr	of Birth Nationality	Tax Reference Number (for NRI)				
Guardian/ Contact Person if Non- Individual Applicant (Section IV)	Gross Annual Income Details in INR (please tick): O < 1 la or Net-worth as on (date) DD MM Rs.		0 - 25 lac O 25 lac - 1 cr O 1 cr -	5 cr O 5 cr - 10 cr O > 10 cr				
Guardia Persol Idividua	Please tick, if applicable, O Politically Exposed Person (PEP) *I declare that the information is to the best of my knowledge Co. Ltd. immediately in case there is any change in the above in	and belief, accurate and complete. I agre						
			of Birth Nationality	Tax Reference Number (for NRI)				
Power of Attorney (PoA) Holder (Section V)	Gross Annual Income Details in INR (please tick): O < 1 lator or Net-worth as on (date) DD / MM / YYYY Rs. Please tick, if applicable, O Politically Exposed Person (PEP) *1 declare that the information is to the best of my knowledge Co. Ltd. immediately in case there is any change in the above in	COLOCIENT COLOCIENT COLOCIENT Construction Colocient Co	0 - 25 lac 0 25 lac - 1 cr 0 1 cr - in 1 year) ally Exposed Person (PEP)* 0 Not appli	5 cr O 5 cr - 10 cr O > 10 cr				
				<u>&</u>				
SLIP	Rotak [®]	(To be filled by Applica						
MENT	Mutual Fund An application for allotment of units in Instument Details	the following scheme:	Investment Details	Appl. CA				
/LEDGE	Received from: Dated DD / MM / YYYY Rs	Scheme Plan						
	Bank & Branch	Option		Official Acceptance				
IĀ	ase retain this silp, duly acknowledged by the Official Collection Center till you receive	our Account statement		Point Stamp & Sign				

Please retain this silp, duly acknowledged by the Official Collection Center till you receive your Account Statement

	Address for Comm	unication (Full Address Mandatory)	Overseas Address (Mandatory for NRI/ FII Applicants)				
		House/ Flat No	House/ Flat N	0			
of		Street Address	Street Address				
etails cant	City/ Town	State	City/ Town	State			
ce De Appli	Country	Pin Code	Country	Pin Code			
Correspondence Details o Sole/ First Applicant (Section VI)	Mobile:		O Spouse O Guardian (for Minor investment) O Dependent Children O Dependent Parents endent Siblings O Custodian O POA O PMS				
resp Sole	Email:			Tel (Res./ Off.)			
Col	Email Address belongs to: O Self O	Spouse O Guardian (for Minor investment) O Depende	nt Children O Dependent Parents O Dependent Sibling	s O Custodian O POA O PMS			
	// We hereby declare that the details furnished above are true & correct to the best of my knowledge and undertake to inform KMAMC of any changes therein immediately, and //we approve the usage of these contact details for any communication with KMAMC. Please note all kinds of investor communication, Transaction Information, Statement of Account, Annual Report and other kind of communication will be sent through email only instead of physical, for investors who provide their email address.						

FATCA & CRS INFORMATION [Please tick (*)], for Individuals (Mandatory). Non Individual investors & HUF should mandatorily fill separate FATCA detail form.

The below information is required for all applicant(s)/guardian

 Address Type:

 □ Residential
 □ Business
 □ Registered Office (for address mentioned in form/existing address appearing in Folio)
 Is the applicant(s) / guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India?
 □ Yes
 □ No

If Yes, Please provide the following information [Mandatory]

Please indicate all countries in which you are resident for tax purpose and the associated Tax Reference Numbers below.

Category	First Applicant/ Minor	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency – 1**			
Tax Payer Ref. ID No. – 1^			
Tax Identification Type – 1 [TIN or Other, please specify]			
Country of Tax Residency – 2**			
Tax Payer Ref. ID No. – 2^			
Tax Identification Type – 2 [TIN or Other, please specify]			
Country of Tax Residency – 3**			
Tax Payer Ref. ID No. – 3^			
Tax Identification Type – 3 [TIN or Other, please specify]			

** To also include USA, where the individual is a citizen/ green card holder of USA. ^ In case Tax Identification Number is not available, kindly provide its functional equivalent.

	I/ We and and do hereby nominate the undermentioned Nominee to receive the Units to my/our credit in Folio No./Application No in the event of my/our death. I/we also understand that all payment and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustee.								
	DETAILS OF NOMINEE Please tick any of the following: Proof of Identity: PAN Addhaar Date of Birth Others								
8		Name & Address of Nominee	Relationship	Proc	f of Identity % Share		Signature Of Nominee		
idator									
(Man ()									
vidua ointly									
/ Indi									
in by	DETAILS OF GUARDIAN (to be furnished in case Nominee is a minor)								
tion Details (Section VII) (Mandatory) (to be filled in by Individual(s) applying Singly or Jointly)	N	PAN	PAN Relationshi		hip with Minor Signature Of Guardia				
Nomination (to b ap	I/We hereby confirm that of nominee(s) and furth	lerstood the instructions on nomination and I/ We here at I /We do not wish to appoint any nominee(s) for my i er are aware that in case of death of all the account h ised on the value of assets held in the mutual fund folic	mutual fund units held ir older(s), my / our legal he	n my / our mu					
	POA holder cannot nominate. Hence, sole/ all joint holder applicants must sign.	First/ Sole Unitholder: Signature	Unitholde	er 2: Signat	ure	Unit	holder 3: Signature		
		Name:	Name:			Name:			

KOTAK MAHINDRA MUTUAL FUND

mutual@kotak.com
 www.kotakmf.com/

Computer Age Management Services Ltd.

No 178/10, Kodambakkam High Road, Ground Floor, Opp. Hotel Palmgrove, Nungambakkam, Chennai - 600034. 2044 6110 4034 2044 enq_k@camsonline.com

<u>s</u>	wish to hold units in demat, please fill this s	ection. Please note that you can hold units in demat for all ope	ppen ended schemes (except ETFs and IDCW options having IDCW frequency of less than a month). CDSL			
mat t Detail on VIII)	DP Name		DP Name			
Dem ccount (Section	DP ID	Beneficiary Account No.	DP ID	Beneficiary Account No.		
	Please ensure that your demat account de	stails mentioned above are along with supporting documents	evidencing the accuracy of the demat accourt	at Bank details of DP will overwrite the existing details		

					Amount		Payment Details	
- <u>-</u>	Scheme Name	Plan	Option/ Sub-option	Frequency	Laurante al (Da.)	Cheque No./ DD No./ OTM/ UTR No.(RTGS/NEFT)	Bank and Branch	Source Account No.
Investment & Payment Details (Section IX)		○ Regular ○ Direct	 Growth IDCW Payout IDCW Reinvestment 	O D O B* OW O Q O F* O H O M O A				
		○ Regular ○ Direct	 Growth IDCW Payout IDCW Reinvestment 	0 D 0 B* 0 W 0 Q 0 F* 0 H 0 M 0 A				
		○ Regular ○ Direct	 Growth IDCW Payout IDCW Reinvestment 	O D O B* O W O Q O F* O H O M O A				

D = Daily, W = Weekly, F = Fortnightly, M = Monthly, B = Bi-monthly, Q = Quarterly, H = Half Yearly, A = Annually *This facility is available in Kotak Equity Arbitrage Fund only

○ Others

nds for vour investment (Please 🗸)

O NRE	○ FCNR

Please e	enclose a cancelled	cheque leaf of this Bank in case your investment cheque is not from this	s account, else b	ank details	of investmer	nt cheque	shall be	updated	or payout
ails	Name of Bank								
X) Deta	Branch		City						
out	Account No.								
Accol (Section	RTGS IFSC Code		NEFT IFSC Code						
Bank	MICR Code	This is the 9 digit No. next to your Cheque No.	Account Type	Current	○ Savings				Others

We have read and understood the contents of the Statement of Additional Information/Scheme Information Document/ Key Information Memorandum of the respective scheme(s) of Kotak Mahindra Mutual Fund. I /We hereby apply for allotment / purchase of Units in the Scheme(s) indicated in Section XI above and agree to abide by the terms and conditions applicable thereto. I /We hereby declare that I /We are authorised to make this investment in the abovementioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not corruption Act or any other applicable laws enacted by the Government of India from time to time. I / We hereby authorise Kotak Mahindra Mutual Fund. Scheme(s) is through legitimate sources only and agree to abide by the terms and conditions applicable laws enacted by the Government of India from time to time. I / We hereby authorise Kotak Mahindra Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my/our Investment Advisor and / or my bank(s) / Kotak Mahindra Mutual Fund's bank(s). I /We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment.

I/We confirm that the distributor has disclosed all commission (in the form of trail commission or any other mode) payable to the distributor for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

I have examined the information provided by me in this form and to the best of my knowledge and belief it is true, correct, and complete.

Declaration and Signatures (Section XI) Applicable to NRIs seeking repatriation of redemption proceeds: I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin and that I/We have remitted funds from oad through approved banking channels or from funds in my/our NRE / FCNR Account.

FATCA & CRS Declaration: I/We have understood the information requirements of this Form (read along with FATCA & CRS Instructions) and hereby confirm that the information provided by me/ us on this Form is true, correct, and complete. I/ We also confirm that I/ We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. (Refer guideline No. 11).

siGNATURE(S) (To be signed by All Applicants)				
All ⊴ SI	Sole / First Applicant	Secon	d Applicant	Third Applicant
Please tick if the investment is operated as POA / Guardian		Note : If the application is incomplete and any othe the application is liable to be rejected.		omplete and any other requirements is not fulfilled, rejected.

Please ensure that:

Checklist

- Your Application Form is complete in all respects & signed by all applicants:
 Name, Address and Contact Details are mentioned in full.
 Bank Account Details are entered completely and correctly. 9 digit MICR Code of your Bank is mentioned in the Application Form.
 Permanent Account Number (PAN) Mandatory for all Investors (Indian & NRI) Irrespective of the Investment amount.
 Know Your Client (KYC) Mandatory for irrespective of the amount of investment (please refer the guideline 2(d) for more information)

Your Investment Cheque / DD is drawn in favour of < Scheme Name > dated and signed.

- For an interaction of the formation of the cheque.
 Application Number is mentioned on the face of the cheque.
 A cancelled Cheque leaf of your Bank is enclosed in case your investment cheque is not from the bank account that you have furnished in the Application Form.
 Documents as listed below are submitted along with the Application form (as applicable to your specific case)

Document	Companies	Trusts	Societies	Partnership Firms	NRIs/ PIOs	FIS	Investments through Constituted Attorney	
1. Resolution / Authorisation to invest	~	 ✓ 	~	~		 ✓ 		
2. List of Authorised Signatories with Specimen Signature(s)	~	~	~	~		✓	√	
3. Memorandum & Articles of Association	~							
4. Trust Deed		1						
5. Bye-Laws			~					
6. Partnership Deed				~				
7. Notarised Power of Attorney							✓	
8. Account Debit / Foreigin inward Remittance Certificate from remitting Bank					~	~		
All documents in 1 to 8 above should be originals / true copie	All documents in 1 to 8 above should be originals / true copies certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public							

GUIDELINES FOR FILLING UP THE COMMON APPLICATION FORM

GENERAL INFORMATION 1.

- b)
- C)
- d)
- e f)
- g)

- NERAL INFORMATION
 Please fill up the Application Form legibly in English in CAPITAL LETTERS.
 Please read this Memorandum and the respective SAV SID carefully before investing. Your application for allotment of units in the Scheme(s) is construed to have been made with a full understanding of the terms and conditions applicable to it and the same is binding on you in respect of your investment in the Scheme(s).
 Application Forms incomplete in any respect or not accompanied by a Cheque/ Demand Draft are liable to be rejected. In case your investment application gets rejected on account of the same being incomplete in any respect, your investment amount would be refunded without interest within 5 days.
 Any correction / over writing in the application form must be signed by the investor.
 If the Name given in the application is not matching PAN card, application may be liable to get rejected.
 AMC shall not be responsible for direct credit rejects or / payout delays due to incorrect/ incomplete information provided by investor.
 In terms of SEBI Circular No. SEBI/IND/CIR No. 4/168230/09 dated June 30, 2009, no entry load will be charged on purchase / additional purchase / switch-in. The commission as specified in the aforesaid circular, if any on investment made by the investor shall be paid by the investor shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various factors including the service rendered by the Distributor.
 The distributor shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various factors including the service rendered by the Distributor.
 The distributor shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various factor i)

2. APPLICANT'S INFORMATION

- If you are already a Unitholder in any scheme of the Fund and wish to make your present investment in the same Account, please fill in the Name of Sole/ First Holder, PAN & Folio No. in Section I, of the Application Form and then proceed to Section XI. Your personal information and bank account details updated in your existing account would also apply to
- b)
- Information and bank account details updated in your existing account would use upp. The this investment. If you are applying for units in Kotak Mahindra Mutual Fund for the first time, please furnish your complete postal address with Pin Code (PO. Box No. not enough) and your Contact Nos. This would help us reach you faster. Permanent Account Number (PAN) Information (Mandatory) With effect from January 1, 2009, it is mandatory for all existing and new investors (including joint holders, guardians of minors and NRIs) to enclose a copy of PAN card to the application for investing in mutual fund Schemes. C)

minors and NRIs) to enclose a copy of PAN card to the application for investing in mutual fund Schemes. Know Your Client (KYC) With reference to SEBI Circular MIRSD/Cir-26/2011 dated December 23, 2011, investors may kindly note we.f. January 1, 2012, it is mandatory for all individual/ non individual investors to be KYC Compliant. Investors can approach any SEBI registered KRA for doing KYC. In the event of KYC Form being subsequently rejected for lack of information/ deficiency/ insufficiency of mandatory documentation, the investment transaction will be cancelled and the amount may be redeemed at applicable NAV, subject to payment of exit load, wherever anonlicable

applicable. If you are KYC Complaint, your Change of Address, Change in Name, etc. should be given at KRA for updation. e)

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THIRD PARTY PAYMENT
Reference to AMFI Best Practice Guidelines Circular No. 16/2010 -11 on Risk Mitigation process agains. Third Party Cheques in Mutual Fund Subscriptions will not be accepted by the Scheme.
Definition of Third Party Cheques
Where payment is made through instruments issued from an account other than that of the beneficiary investor, the same is referred to as Third-Party payment.
In case of a payment from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account, the first holder is made. If this criterion is not fulfilled than this is also construed to he a third native narment.

not fulfilled, then this is also construed to be a third party payment. However, afore-mentioned clause of investment with Third-Party Payment shall not be applicable for the below mentioned exceptional case. Custodian on behalf of an FII or a client. For pre funded instruments such as DD/Pay order it is the onus of the investor to provide adequate supporting documents to prove that such instruments are issued by debiting the first holders account account

Account. Kotak Mahindra Asset Management Co. Ltd. / Trustee retains the sole and absolute discretion to reject/ not process application and refund subscription money if the subscription does not comply with the specified provisions of Payment Instruments

TERMS & CONDITIONS FOR INVESTORS WHO WISH TO HOLD THEIR UNITS IN DEMAT 4. MODE

- a.
- b.
- d
- e.
- The Demat Account Details section on the investment application form needs to completely filed Please ensure that you submit supporting documents evidencing the accuracy of the demat account details. Applications received without supporting documents could be processed under the physical mode. The units will be credited to the Demat Account only post realisation of payment. The nomination details as registered with the Depository Participant shall be applicable to units held in demat mode, the bank details mentioned on investment application form shall be replaced with the bank details as registered with the Depository Participant. For units held in demat form, the KYC performed by the Depository Participant of the transfer of unit to demat account is rejected for any reason whatsoever, the transaction will be transfer of unit to demat account is rejected for any reason whatsoever, the transaction will be proved the transfer of unit to demat account is he demat mode, the investment application form. f form.
- form. In case of Unit Holders holding units in the demat mode, the Fund will not send the account statement to the Unit Holders. The statement provided by the Depository Participant will be equivalent to the account statement. If the investor names and their sequence in the investment application form does not match with the Demat Account details provided therein, the units will not be transferred to the Demat Account & units will be held in physical form. The option of holding units in demat form is not being currently offered for investment in IDCW option of schemes/ plans having IDCW frequency of less than a month (ic: Investments in all Daily, Weekly and Fortnightly IDCW Schemes cannot be held in Demat mode) In case the application is rejected post banking your payment instrument, the refund instrument will be sent with the bank details furnished in the investment application form & not as available in the Demat Account, post reconciliation of accounts. q

- in the Demat Account, post reconciliation of accounts.

5. BANK ACCOUNT DETAILS

- Please furnish the Name of your Bank, Branch and City (i.e clearing circle in which the branch participates), Account Type and Account Number. This is mandatorily required as per SEBI. Applications without this information will be deemed to be incomplete & would be rejected. RTGS IFSC code & NETT IFSC code would help us serve you better. Please enclose a cancelled Cheque leaf of your Bank in case your investment cheque is not from the same account.

6. E-MAIL COMMUNICATION

If the investor has provided an email address, the same will be registered in our records and will be treated as your consent to receive, Allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode /email. These documents shall be sent physically in case the Unit holder opts/request for the same. The AMC / Trustee reserve the right to send any communications in the send and any statutory. communication in physical mode.

7. INVESTMENT DETAILS

Cheques should be crossed "A/c Payee Only" and drawn in favour of the Scheme in which you propose to invest. In case of discrepancy between the scheme name mentioned in the investment application form and cheque, the units will be allotted as per scheme name

mentioned on the investment application form

- mentioned on the investment application form. If you are residing / located in a city / town where we do not have an Official Acceptance Point, please draw a Demand Draft payable at your nearest city / town where we have an Official Acceptance Point. Payments by Cash, Stockinvests, Outstation Cheques, Non-MICR Cheques will not be accepted. Post dated cheques will not be accepted except for investments made under Systematic Investment Plan. NRInvestors are requested to provide debit certificate from their bank for each investment. b)
- C)
- d)

8.

- NOMINATION DETAILS
 The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
 Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate
- undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate. Nomination is not allowed in a folio of a Minor unitholder. If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis). 4
- Survivor" basis). A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations. 5 6.
- 7
- 8 Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a
- Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%, if the total percentage of allocation amongst multiple nominees does not add up to 100%, if the total percentage of allocation amongst multiple nominees does not add up to 100%, if the total percentage of allocation share for each of the nominee is not mentioned, the allocation/share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
 Every new nomination for a folio/account shall overwrite the existing nomination, if any.
 Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio/account.
 Nomination shall stand rescinded upon the transfer of units.
 Death of Nominee's: In the event of the nominate(s) pre-deceasing the unitholder(s), the unitholder(s), incase of multiple nominations, if any of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) predeceased at the time of death claim settlement, the said nominate's share will be distributed equally amongst the surviving nominees.
 Fransmission of units in favour of a Nominee shall be valid discharge by the asset management company/Mutual Fund/Trustees against the legal heir(s).
 Cancellation of Nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer/ transmit the units in favour of the Nominee.
 Until other share surve obligation to transfer/ transmit the units in favour of the Nominee.
 Until other whole shall be are required to confirm the same by indicating their choice in the space provided in the nomination form is completed in all respects to the space provi

- Ontrobates who do not wish to noninate are required to commit the same by indicating their choice in the space provided in the nomination form.
 The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
 In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

TRANSACTION CHARGES 9.

Pursuant to SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011, transaction charge per subscription of Rs. 10,000/- and above be allowed to be paid to the distributors of the Kotak Mahindra Mutual Fund products. The transaction charge shall

- distributors of the Kotak Mahindra Mutual Fund products. The transaction charge shall be subject to the following:
 For existing investors (across mutual funds), the distributor shall be paid Rs. 100/- as transaction charge per subscription of Rs.10,000/- & above.
 For first time investors, (across Mutual Funds), the distributor shall be paid Rs. 150/- as transaction charge per subscription of Rs.10,000/- & above.
 The transaction charge shall be deducted by Kotak AMC from the subscription amount & paid to the distributor (will be subject to statutory levies, as applicable) & the balance amount shall be invested.
 In case of Systematic Investment Plan(s), the transaction charge shall be applicable only if the total commitment through SIPs amounts to Rs.10,000/- & above. In such cases the transaction charge shall be recovered in first 4 successful installments.

Transaction charges shall not be deducted/applicable for: (a) Transaction other than purchases/subscriptions such as Switch/Systematic Transfer Plan (STP)/ Transfer of Income Distribution cum capital withdrawal plan (IDCW), etc. (b) Purchases/Subscriptions made directly with the Fund without any ARN code. (c) Transactions carried out through the stock exchange platforms. (d) Distributors who have chosen to either 'Opt In' or 'Opt Out' of charging the transaction chorene based on brody of the product

- charge based on type of the product.

With reference to SEBI circular no. Cir/IMD/DF/13/2011 dated August 22, 2011 and KMMF notice dated November 1, 2011; distributors shall now have the option to either opt in or opt out of charging transaction charge based on type of the product.

10. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case you are required to provide the declaration to this effect as given in the form.

11. FATCA and CRS related details: Details under FATCA & CRS The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certain certains and documentation from all our account holders. In relevant information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

information.

12. DECLARATION AND SIGNATURES

- Signatures can be in English or in any other Indian language. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. a)
- official seal. Applications by minors must be signed on their behalf by their guardians. If you are investing through your constituted attorney, please ensure that the POA document is signed by you and your Constituted Attorney. The signature in the Application Form, then, needs to clearly indicate that the signature is on your behalf by the Constituted Attorney.

(Application not complying with any of the above instructions/ guidelines would be liable to be rejected.)